DUCKOR
SPRADLING
METZGER
WYNNE
A LAW CORPORATION

RECEIVED CENTRAL FAX CENTER

FEB 2 8 2005

401 WEST A STREET, SERTE 2400 SAN DECCO. CALIFORNIA 32201-7915 GLEPHONE (619) 231-3566 FACSIMILE (619) 231-6529 WWW.(STREE) 200

FACSIMILE TRANSMITTAL

EJA

To: Commissioner for Patents	FACSIMILE No.: (703) 872-9306	TELEPHONE No.: (571) 272-3674	
P.O. Box 1450 Alexandria, VA 22313-1450			
Attn: Examiner A. Elamin Group Art Unit: 2116			

FROM:	Bernard L. Kleinke, Esq.
	U.S. Patent Application No.: 10/656,024
	Applicant: John V. Smith, et al.
	Title: CONTROL SYSTEM AND METHOD FOR
	RACK MOUNTED COMPUTER UNITS
RE:	Filing Date: September 5, 2003
FILE NO.	7719-115
111111	
DATE:	February 78, 2005

	·	
THE POST OF DO	CUMENT, COMMENT OR SPECIAL INST	TRUCTIONS:
DESCRIPTION OF DO	COMENT, COMMENT OR STECHTE HAS	11001101
1		
1		
	• ,1	
	·	
	_	
ATT OF A	PAGES SENT: 12 (including cover sheet)	-
NUMBER OF B	ACES SENT. 12 (Including cover succes)	

THE PAGES COMPRISING THIS FACSIMILE TRANSMISSION CONTAIN CONFIDENTIAL INFORMATION FROM DUCKOR SPRADLING METZGER & WYNNE. THIS INFORMATION IS INTENDED SOLELY FOR USE BY THE INDIVIDUAL OR ENTITY NAMED AS THE RECIPIENT HEREOF. IF YOU ARE NOT THE INTENDED RECIPIENT, BE AWARE THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR USE OF THE CONTENTS OF THIS TRANSMISSION IS PROHIBITED. IF YOU HAVE RECEIVED THIS TRANSMISSION IN ERROR, PLEASE NOTIFY US BY TELEPHONE IMMEDIATELY SO WE MAY ARRANGE TO RETRIEVE THIS TRANSMISSION AT NO COST TO YOU.

PAGE 1/12* RCVD AT 2/28/2005 8:57:32 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/5* DNIS:8729306* CSID:6192316629* DURATION (mm-ss):03-24

NO. 339 P. 2

FEB 2 8 2005

Atty. Dkt. No. 7719-115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

John V. Smith, et al.

Title:

CONTROL SYSTEM AND METHOD FOR

RACK MOUNTED COMPUTER UNITS

Appl. No.:

10/656,024

Filing

September 5, 2003

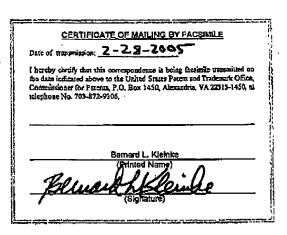
Date:

Examiner:

A. Elamin

Art Unit:

2116



INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

- Information Disclosure Statement Under Statement Pursuant to 37 C.F.R. § 1.56.
- Form PTO/SB/08A with listed references.
- Copy of PCT International Search Report.
- The Commissioner is hereby authorized to charge any additional fees which may be required either now or in the future regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to the Deposit Account No. 502635 of DUCKOR SPRADLING METZGER &

328401.1

WYNNE. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to the Deposit Account No. 502635 of DUCKOR SPRADLING METZGER & WYNNE. If any charges or fees must be paid in connection with the foregoing, concurrent or future communication (including, but not limited to, the payment of an extension fee or issue fees), or if any overpayment is to be refunded in connection with the above-identified application, any such charges or fees, or any such overpayment may be respectfully paid out of, or into, the Deposit Account No. 502635 of DUCKOR SPRADLING METZGER & WYNNE. If any such payment also requires a petition or extension request, please construe this authorization to pay as the necessary petition or request, which is required to accompany the payment.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Date: 2-28-2095

DUCKOR SPRADLING METZGER & WYNNE A Law Corporation 401 West A Street, Suite 2400 San Diego, CA 92101-7915

Telephone: 619.231.3666
Facsimile: 619.231.6629

Email: kleinke@dsmwlaw.com

Respectfully submitted,

Bernard L. Kleinke Attorney for Applicant Registration No. 22,123

FEB 2 8 2005 .

Atty. Dkt. No. 7719-115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

John V. Smith, et al.

Title:

CONTROL SYSTEM AND

METHOD FOR RACK MOUNTED

COMPUTER UNITS

Appl. No.:

10/656,024

Filing

September 5, 2003

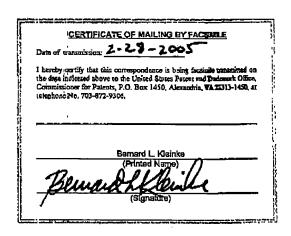
Date:

Examiner:

A. Elamin

Art Unit:

2116



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR § 1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present Application or that such document is considered material to patentability as defined in 37 CFR § 1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present Application.

83/81/2885 EKOLII 88888889 18656824

1

328399.1

01 FC:1896

189.09 OP

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR § 1.97(b), before the mailing date of any final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application. Also, it is accompanied by the fee set forth in § 1.17(p).

RELEVANCE OF EACH DOCUMENT

Both U.S. Patent No. 6,237,507 and U.S. Patent No. 5,317,691 are cited on the International Search Report. U.S. Patent No. 6,237,507 is listed on the attached Form PTO/SB/08A and is cited in the International Search Report as being of particular relevance. U.S. Patent No. 5,317,691 is also listed on the attached Form PTO/SB/08A and is cited in the International Search Report; however, it is not considered to be particularly relevant. A copy of the International Search Report is enclosed setting forth the portion of each document considered relevant by the Examiner. The remaining documents were listed in the Detailed Discussion of References and accompanied with the Petition to Make Special that was filed on September 5, 2003.

Applicants respectfully request that any listed documents be considered by the Examiner and be made of record in the present Application and that any initial copy of PTO/SB/08A be returned in accordance with MPEP § 609.

FEE

It is hereby respectfully requested that the attached listed document be considered by the Examiner and formally made of record. Please charge the fee of \$180.00 pursuant to the Credit Card Authorization form enclosed, in accordance with 37 CFR § 1.17(p).

Date: 2-28-2005

DUCKOR SPRADLING METZGER & WYNNE A Law Corporation 401 West A Street, Suite 2400 San Diego, California 92101-7915

Telephone No.: (619) 231-3666 Facsimile No.: (619) 231-6629

Email Address: kleinke@dsmwlaw.com

Respectfully submitted,

Bernard L. Kleinke Attorney for Applicant

Registration No. 22,123

3

AUTHORIZATION TO PAY AND PETITION FOR THE ACCEPTANCE OF ANY NECESSARY FEES

The Commissioner is hereby authorized to charge any additional fees which may be required either now or in the future regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to the Deposit Account No. 502635 of DUCKOR SPRADLING METZGER & WYNNE. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to the Deposit Account No. 502635 of DUCKOR SPRADLING METZGER & WYNNE. If any charges or fees must be paid in connection with the foregoing, concurrent or future communication (including, but not limited to, the payment of an extension fee or issue fees), or if any overpayment is to be refunded in connection with the above-identified application, any such charges or fees, or any such overpayment may be respectfully paid out of, or into, the Deposit Account No. 502635 of DUCKOR SPRADLING METZGER & WYNNE. If any such payment also requires a petition or extension request, please construe this authorization to pay as the necessary petition or request, which is required to accompany the payment.

Date: 2-28 - 2005

DUCKOR SPRADLING METZGER & WYNNE A Law Corporation 401 West A Street, Suite 2400 San Diego, California 92101-7915

Telephone No.: (619) 231-3666 Facsimile No.: (619) 231-6629

Email Address: kleinke@dsmwlaw.com

Respectfully submitted,

Bernard L. Kleinke Attorney for Applicant Registration No. 22,123 Sheet

FTG/S9/08A (08-03)

Approved for use through 07/31/203, 0MB 0551-0051 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid talks control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known Application Number 10/658,024 September 5, 2003 Filing Date First Named Inventor John V. Smith, et al. Art Unit 2116 Examiner Name A. Elamin Attomay Docket Number 7719-115

			U. S. PATENT		
Examiner Initials*	Cite No."	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	US-5,317.691	05/31/1994	Traeger, Robert J.	
	. В	US-6,003,145	12/14/1999	Hong, Burn-Ryong	
	С	U8-6,237,507	05/29/2001	Yanagisawa, Ryozo, et al.	
	D	US-6,338.150	01/08/2002	Johnson, Karl S., et al.	
	E	US-6,591,298	07/08/2003	Ghanime, George H.	
	F	US-2003/0084337	05/01/2009	Simionescu, Dan C., et al.	_
	G	U\$-2008/0115323	06/19/2003	Nam, Mun Hee	
		US-			
		US-		· ·	
		U8-			
		บร-			
		US-			
	L	US-			
		US-	<u> </u>		
		US-			
		US-			
		US	:		
	ļ	US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document Publication	Publication	Name of Patentee or	Pages, Columns, Lines.	
Initials*	No.*	Country Code ³ Number ⁴ Kind Code ⁶ (<i>if tracum</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	هم ا
		_		,		
						\Box
					-	\Box
			~			\Box

Examiner	Date	I
	[, 	
Signature	I Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.\(^1\) Applicant\(^2\) unique citation designation number (optional).\(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.\(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).\(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.\(^5\)Kind of document by the appropriate symbols as Indicated on the document under WIPO Standard ST.16 If possible.\(^5\) Applicant is to place a check mark here if English language Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

CRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.